

#6
CBuJ

P20854.A02

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Hiroshi SHIKU et al.

Art Unit: UNKNOWN

Serial No : 09/787,916

Examiner: UNKNOWN

I.A. Filed : September 30, 1999

For : METHOD FOR INDUCING CELLULAR IMMUNITY AND CELLS
HAVING INDUCED CELLULAR IMMUNITY

INFORMATION DISCLOSURE STATEMENT

Commissioner of Patents and Trademarks
Washington, DC 20231

Sir:

Pursuant to the duty of disclosure under 37 C.F.R. 1.56, Applicants hereby submit the following Information Disclosure Statement under 37 C.F.R. 1.97 and 1.98, the following information, which information was cited in an International Search Report (submitted herewith in English and Japanese) and discussed in an International Preliminary Examination Report (submitted with the application), both issued in connection with International Application No. PCT/JP99/05378. The Examiner is referred to the International Search Report and the International Preliminary Examination Report for an indication of the relevance given by the International Examiner to the cited documents relative to the claims of the International application.

WO 98/09650 is cited and discussed in the present specification beginning at page 3;

WO 92/04887 is cited and discussed in the present specification beginning at page 3; and

Regarding JP 8-500102, which is also cited and discussed in the International Search Report and International Preliminary Examination Report, the following family member of JP 8-500102 is brought to the attention of the Examiner:

P20854.A02

U.S. Patent No. 5,736,146 to COHEN et al.

Still further, the following information which is cited and discussed in the application, is brought to the attention of the Examiner:

TAKAHASHI et al., Nature, Vol. 344, pp. 873-875 (1990) is cited and discussed in the specification beginning at page 3;

NEWMAN et al., The Journal of Immunology, Vol. 148, pp. 2357-2362 (1992) is cited and discussed in the specification beginning at page 3;

RAYCHAUDHURI et al., Proc. Natl. Acad. Sci. USA, Vol. 89, pp. 8308-8312 (1992) is cited and discussed in the specification beginning at page 3;

JP 61-69801 accompanied with an English Abstract is cited and discussed in the specification beginning at page 3;

JP 63-319046 accompanied with an English Abstract is cited and discussed in the specification beginning at page 3;

AKIYOSHI et al., Macromolecules, Vol. 26, pp. 3062-3068 (1993) is cited and discussed in the specification beginning at page 6;

AKIYOSHI et al., Proc. Japan Acad., Vol. 71, Ser. B, pp. 15-19 (1995) is cited and discussed in the specification beginning at page 6;

JP 3-292301 accompanied with an English Abstract is cited and discussed in the specification beginning at page 6;

JP 7-97333 accompanied with an English Abstract and a Patent Abstracts of Japan is cited and discussed in the specification beginning at page 6;

NISHIKAWA et al., Macromolecules, Vol. 27, pp. 7654-7659 (1994) is cited and discussed in the specification beginning at page 7 ;

NISHIKAWA et al., J. Am. Chem. Soc., Vol. 118, pp. 6110-6115 (1996) is cited and discussed in the specification beginning at page 8;

P20854.A02

NESTLE et al., Nature Medicine, Vol. 4, No. 3, pp. 328-332 (1998) is cited and discussed in the specification beginning at page 10;

MUKHERJI et al., Proc. Natl. Acad. Sci. USA, Vol. 92, pp. 8078-8082 (1995) is cited and discussed in the specification beginning at page 10;

HU et al., Cancer Research, Vol. 56, pp. 2479-2483 (1996) is cited and discussed in the specification beginning at page 10;

COUSSENS et al., Science, Vol. 230, pp. 1132-1139 (1985) is cited and discussed in the specification beginning at page 11; and

DeLEO et al., The Journal of Experimental Medicine, Vol. 146, 720-734 (1977) is cited and discussed in the specification beginning at page 15.

Yet further, the following information is brought to the attention of the Examiner:

JP 7-206903 accompanied with an English Abstract and a Patent Abstracts of Japan.

Copies of the above-noted documents are enclosed. Each document is listed on the attached PTO-1449 form to permit the Examiner to indicate consideration thereof.

The Examiner is respectfully requested to consider the documents cited above and to confirm such consideration by initialling in the appropriate spaces on the PTO-1449 form and returning a copy of the initialled form to Applicants. It is also requested that these documents be identified in the list of references cited on the patent issuing for this application.

Applicants note that an Office Action on the Merits has not issued in the instant application. However, if an Office Action on the Merits has issued, and is crossing this statement in the mail, the undersigned hereby authorizes the Commissioner to charge any fee necessary for consideration of this statement, including any payment under 37 C.F.R. 1.17(p), to be charged to Applicants' Deposit Account No. 19-0089.

P20854.A02

If there should be any questions, the Examiner is invited to contact the undersigned at the telephone number listed below.

Respectfully submitted,
Hiroshi SHIKU et al.

Steven J. Helmer
Reg. No. 40,475

Bruce H. Bernstein
Reg. No. 29,027

July 9, 2001
GREENBLUM & BERNSTEIN, P.L.C.
1941 Roland Clarke Place
Reston, VA 20191
(703) 716-1191